

# STATE OF RHODE ISLAND

## RHODE ISLAND ETHICS COMMISSION

### REGULATION 36-14-5002 ADDITIONAL CIRCUMSTANCES WARRANTING RECUSAL

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#### **Regulation 36-14-5002 Additional circumstances warranting recusal**

- (a) A person subject to this Code of Ethics must also recuse himself or herself from participation in accordance with R.I. Gen. Laws § 36-14-6 when any of the following circumstances arises:
  - (1) Any person within his or her family, or a household member, appears or presents evidence or arguments before his or her state or municipal agency.
  - (2) His or her business associate or employer appears or presents evidence or arguments before his or her state or municipal agency.
  - (3) His or her business associate, employer, household member or any person within his or her family authorizes another person, on his or her behalf, to appear or to present evidence or arguments before his or her state or municipal agency.
- (b) A person subject to this Code of Ethics is not required to recuse himself or herself pursuant to this or any other provision of the Code when:
  - (1) The person's business associate, employer, household member or any person within his or her family is before the person's state or municipal agency, solely in an official capacity as a duly authorized member or employee of another state or municipal agency, to participate in non-adversarial information sharing or coordination of activities between the two agencies, provided that the business associate, employer, household member or person within his or her family is not

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otherwise a party or participant, and has no personal financial interest, in the matter under discussion.

- (2) The person's business associate, employer, household member or any person within his or her family is before the person's state or municipal agency during a period when public comment is allowed, to offer comment on a matter of general public interest, provided that all other members of the public have an equal opportunity to comment, and further provided that the business associate, employer, household member or person within his or her family is not otherwise a party or participant, and has no personal financial interest, in the matter under discussion.